

FORM PT OFFICE	O-1390 U.S. DEPARTMENT OF CO	OMMERCE PATENT AN	ID TRADEMARK	ATTORNEY'S DOCKET NO. PHD 99,144						
TRANSI	MITTAL LETTER TO THE UNITE (DO/EO/US) CONCERNING A			U.S. Application No. (if known, see 37 CFR 1.5) 09/937419						
INTERNA PCT/EP00	TIONAL APPLICATION NO. 0/01975	INTERNATIONAL FIL MARCH 7, 2000	ING DATE	PRIORITY DATE CLAIMED						
	INVENTION SERVER SPEECH RECOGNITION									
	NT(S) FOR DO/EO/US ELEN, STEFAN BESLING									
Applicant	(s) herewith submit to the United S	tates Designated/Electe	ed Office (DO/EO/US) the f	ollowing items and other information:						
1. [X]	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
2. [ ]	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3. [X]	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).									
4. [ ]	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.									
5. [X]	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))  a. [X] is transmitted herewith (required only if not transmitted by the International Bureau).  b. [ ] has been transmitted by the International Bureau.  c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. [ ]	A translation of the International Application into English (35 U.S.C. 371(c)(2))									
7. [X]	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau). b. [ ] have been transmitted by the International Bureau. c. [ ] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made.									
8. [ ]	A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).									
9. [X]	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.[]	A translation of the annexes to the international Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11.	to 16. below concern document(s)	or information included	:							
11. [ ]	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.									
12. [X]	An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included.									
13. []	A FIRST preliminary amendment. A SECOND OR SUBSEQUENT pre	CATE OF EXPRESS MAILING								
14. [ ]	A substitute specification.		Express Mail Mailing	Label No. EL 686 950 222						
15. [X]	A change of power of attorney and	d/or address letter.	Date of Deposit_SEP	TEMBER 26, 2001						
16. [X]	Other Items or Information: Application as published (WO 00/5 3 Sheets of Formal Drawings Authorization Pursuant to 37 CFR	,	I hereby certify that the United States Postal S service under 37 C.F.I adressed to the	his paper and/or fee is being deposited with the ervice "Express Mail Post Office to Addressee" R. 1.10 on the date indicated above and is nts and Trademarks, Washington						

Edna Chapa Typed Name

U.S. APPLICATION NO	0. (If known, see 37 C.F.f. 9 3 7 4 1 9	NAL APPLICATION NO. 175	ATTORNEY'S DOCKET NUMBER PHD 99,144							
17 [ ] The following f	ees are submitted:	CALCULATIONS (PTO USE ONLY)								
BASIC NATIONAL FE	E (37 C.F.R. 1.492(A)(1)-(									
Search Rep	oort has been prepared t									
Internation (37 C.F.R. 1	al preliminary-examinati I.482)									
No internat (37 C.F.R. 1 (37 C.F.R. 1	ional preliminary examii I.482) but international s I.445(a)(2)									
Neither into 1.482) nor i paid to USI	ernational preliminary ex international search fee ( PTO									
Internation (37 C.F.R. 1 Article 33(2	al preliminary examinati 1.482) and all claims sati 2)-(4)									
	ENTER APPROPRIATE I	BASIC FEE	E AMOUNT =		\$970.00					
	for furnishing the oath o ned priority date (37 C.F.I	\$								
CLAIMS	NUMBER FILED	NUMBER	R EXTRA	RATE						
Total Claims	7 - 20 =			X \$ 18.00	\$					
Independent claims	2 - 3 =			X \$ 78.00	\$					
MULTIPLE DEPENDER applicable)	NT CLAIMS (if	+ \$260.00	\$							
	TOTAL OF A	=	\$970.00							
Reductions by 1/2 for must also be filed (No	filing by small entity, if a te 37 C.F.R. 1.9, 1.27, 1.2	\$	·							
-			SUB	TOTAL =	\$970.00					
_	0.00 for furnishing the Erest claimed priority date	\$								
		IAL FEE =	\$							
Fee for recording the accompanied by an ap	enclosed assignment (37 opropriate cover sheet (3	signment must be 00 per property +	\$40.00							
		T	OTAL FEES E	NCLOSED =	\$1,010.00					
		Amount to be refunded	\$							
				•	charged	\$				
a. [ ] A check in	the amount \$	to c	cover the abov	ve fees is enclosed.						
b. [X ] Please char A duplicate	Please charge my Deposit Account No. 14-1270 in the amount of \$1,010.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.										
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been tet a petialon to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Corporate Patent Coul	nsel rth America Corporation	arion								
Tarrytown, NY 10591	- America Corporadon	<u>Michael E. Ma</u> 32,266	1901							
DATE OF MAIN WAS		UMBER)								
DATE OF MAILING:										
September 26, 2001										



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

ERIC THELEN ET AL

PHD 99,144

Serial No.:

Filed: CONCURRENTLY

Title: CLIENT-SERVER SPEECH RECOGNITION

Commissioner for Patents Washington, D.C. 20231

## AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Michael E. Marion, Reg. 32,266

Attorney

(914) 333-9641